



COPY OF PAPERS  
ORIGINALLY FILED

2611

## INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

Application Number	09/966,403
Filing Date	September 28, 2001
First Named Inventor	Srinivas Gutta
Group Art Unit	2611
Examiner Name	
Attorney Docket Number	US010453

These documents may be relevant in that they have been:

considered in drafting the specification of the above-referenced application;

cited in the specification of the above-referenced application;

previously submitted or cited in U.S. patent application(s) \_\_\_\_\_ which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or

cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counter part application, a copy of which report is also enclosed;

I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;

otherwise a concise explanation of the relevance of each document is append hereto.

I hereby certify that not one of these documents was cited in any communication with a foreign Patent Office nor was any known to any individual designated in §1.56(c) more than three (3) months ago.

RECEIVED  
FEB 28 2002  
Technology Center 2600

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)	GREGORY L. THORNE	Registration No. (Attorney/Agent)	39,398
Signature		Date	1/29/02

### CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office tel#: \_\_\_\_\_ on the date below:

Name (Print Type)	NOEMI CHAPA	Date	1/29/02
Signature		Date	1/29/02



**COPY OF PAPERS  
ORIGINALLY FILED**

Sheet 1 of 1

<p>Form PTO-149  <b>Information Disclosure Citation</b>          (Rev. 7-80) U.S. DEPARTMENT OF          COMMERCE          PATENT AND TRADEMARK OFFICE</p>		Atty. Docket No.	Serial No.
		US010453	09/966,403
<p><b>Applicant</b>  <b>SRINIVAS GUTTA ET AL</b></p>			
<p><b>INFORMATION DISCLOSURE CITATION</b>          (Use several sheets if necessary)</p>		<b>Filing Date</b>	<b>Group</b>
		SEPTEMBER 28, 2001	2611

## **U.S. PATENT DOCUMENTS**

Ex. Int		Document Number	Date	Name	Class	Sub- class	Filing Date If Approp.
	AA						
	AB						RECEIVED
	AC						
	AD						FEB 28 2002
	AE						Technology Center 2600
	AF						

~~RECEIVED~~

~~FEB 28 2002~~

Technology Center 2600

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-class	Trans.	
							Yes	No
	AG							
	AH							
	AI							
	AJ							
	AX							

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)

AL		Elaine Rich, "Artificial Intelligence", Second Edition, Microelectronics and Computer Technology Corp., ISBN-0-07-052263-4
AM		
AN		

**Examiner** \_\_\_\_\_ **Date Considered** \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.